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\*Admitted only in Maryland \*Admitted only in Virginia •Practice Limited to Federal Agencies

March 12, 2004

WRITER'S DIRECT NUMBER: (202) 772-8550 INTERNET ADDRESS: EKESSLER@SKGF.COM

Art Unit 2834

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Utility Patent Application

Application No. 10/077,121; Filed: February 15, 2002

**Turbogenerator With Electrical Brake** 

Inventors:

Wall et al.

Our Ref:

2066.0010004

Sir:

Transmitted herewith for appropriate action are the following documents:

- Fee Transmittal Form (PTO/SB/17); 1.
- 2. PTO-2038 Credit Card Payment Form in the amount of \$535.00 to cover:

\$180.00 - fee for Submission of Information Disclosure Statement;

\$145.00 - fee for multiple dependent claim (small entity); and

\$210.00 - fee for two (2) months Extension of Time (small entity).

- 3. Petition for Extension of Time Under 37 C.F.R. § 1.136(a)(1);
- 4. Amendment and Reply Under 37 C.F.R. § 1.111;
- 5. Information Disclosure Statement (IDS);
- 6. Form PTO-1449 twelve (12) pages, citing one hundred fifty-three (153) documents, including twenty-one (21) documents: (AR1, AS1, AT1, AR2, AS2, AT2, AR3, AL1, AM1, AN1, AO1, AP1, AL2, AM2, AN2, AO2, AP2, AL3, AM3, AN3, and AO3 filed herewith); and
- 7. One (1) return postcard.

Commissioner for Patents March 12, 2004 Page 2

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

SPERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Edward J. Ressler

Attorney for Applicants Registration No. 25,688

EJK/VLB/gm Enclosures

217936.v1

PTO/SB/17 (10-03) Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number,										
FEE TRANSMITTAL for FY 2004			Complete if Known							
			Application Number	10/077,121	01.6					
			Filing Date	February 15, 2002	140					
			First Named Inventor	Simon Wall	بير 2004 MAR 1 2 2004					
Effective 10/01/2003. Patent fees are subject to annual revision.			Examiner Name	Karen B. Addison	VE A					
X Applicant claims small entity status. See 37 CFR 1.27			Art Unit	2834	TO DO SULPRIS					
TOTAL AMOUNT OF PAYMENT	(\$)	535.00	Attorney Docket No.	2066.0010004	MADE					
——————————————————————————————————————										

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)					
Check X Credit card Money Order Order None	3. ADDITIONAL FEES						
**Charge any deficiencies or credit any overpayments in	<u>Large I</u>	Large Entity   Small Entity					
Deposit Account: the fees to Deposit Acct. No. 19-0036.  Deposit	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		
Account 19-0036	1051	130	2051		Surcharge - late filing fee or oath	Fee Paid	
Deposit Account Sterne Kessler, Goldstein & Fox P.L.L.C.		50	2052	25	Surcharge - late provisional filing fee or cover sheet		
Name The Director is authorized to: (check all that apply)	1053	130	1053	130	Non-English specification		
Charge fee(s) indicated below Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination		
Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
	1251	110	2251	55	Extension for reply within first month		
FEE CALCULATION	1252	420	2252	210	Extension for reply within second month	210.00	
1. BASIC FILING FEE Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month		
Fee Fee Fee Fee Description Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month		
Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension for reply within fifth month		
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal		
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal		
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing		
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding		
SUBTOTAL (1) (\$) 0.00	1452	110	2452	55	Petition to revive - unavoidable		
5100		1,330	2453	665	Petition to revive - unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from	1501	1,330	2501	665	Utility issue fee (or reissue)		
Extra Claims below Fee Paid	1502	480	2502	240	Design issue fee		
Total Claims 11 - 20 **= 0 X 0.00 = 0.00	1503	640	2503	320	Plant issue fee		
Claims		130	1460	130	Petitions to the Commissioner		
143.00		50	1807	50	Processing fee under 37 CFR 1.17(q)		
Large Entity   Small Entity Fee Fee   Fee Fee Fee Description	1806	180	1806	180		180.00	
Code (\$) Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)		
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))		
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be		
1204 86 2204 43 ** Reissue independent claims					examined (37 CFR 1.129(b))		
over original patent	1801	770	2801	385	Request for Continued Examination (RCE)		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application		
SUBTOTAL (2) (\$) 145.00	1	Other fee (specify)					
**or number previously paid, if greater; For Reissues, see above	*Redu	iced by	Basic I	Filing F	ee Paid SUBTOTAL (3) (\$)	390.00	
CHRMITTED DV					(Complete (if applicable))		

25.688 Edward / Kessle Date Signature WARNING: Information on this form may become public. Credit card Information should not

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Name (Print/Type)

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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